



PTO/SB/21 (09-04) (AW 10/2004)

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FORM**

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Total Number of Pages in This Submission

| | |
|----------------------|-----------------------|
| Application Number | 09/994,335 |
| Filing Date | November 26, 2001 |
| First Named Inventor | Junji Nagaoka et al. |
| Art Unit | 2627 |
| Examiner Name | Jorge L. Ortiz-Criado |
| Attorney Docket No. | MTS-3288US |

ENCLOSURES (Check all that apply)

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|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard |
|---|---|---|

Remarks:**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

| | |
|------------------|--------------------|
| Firm Name | PatnerPrestia |
| Signature | |
| Printed Name | Lawrence E. Ashery |
| Date | November 22, 2006 |
| Registration No. | 34,515 |

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | |
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| Signature | |
| Typed or Printed Name | Deborah Spratt |
| Date | November 22, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Application No.: 09/994,335
Amendment Dated: November 22, 2006
Reply to Office Action of: August 24, 2006

MTS-3288US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/994,335
Applicants: Junji NAGAOKA, et al.
Filed: November 26, 2001
Title: OPTICAL DISK APPARATUS, METHOD FOR CALCULATION OF
AMOUNT OF LENS SHIFT, PROGRAM AND MEDIUM
TC/A.U.: 2627
Examiner: Jorge L. Ortiz-Criado
Confirmation No.: 7419
Docket No.: MTS-3288US

AMENDMENT

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated **August 24, 2006**, please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page _____ of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page **2** of this paper.
- ☐ **Amendments to the Drawings** begin on page _____ of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page _____ of this paper. A clean version of the Abstract is on page _____ of this paper.
- ☒ **Remarks/Arguments** begin on page **7** of this paper.